



## About This Policy

**Effective Dates:**

02-21-2017

**Last Updated:**

02-21-2017

**Responsible University Office:**

Office of the Vice Provost for Faculty & Academic Affairs

## Scope

The Faculty of Indiana University Bloomington is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, the Faculty adopts the following policy.

## Policy Statement

### Grant of License and Limitations

Each Faculty member grants to The Trustees of Indiana University permission to make available their scholarly articles which are deemed Traditional Works of Scholarship under the Intellectual Property Policy and to exercise the copyright in those articles. More specifically, each Faculty member retains copyright and grants to the Trustees of Indiana University a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of their scholarly articles, in any medium, and to authorize others to do the same, for the purpose of making their articles widely and freely available in an open access repository, provided that the articles are not sold, and appropriate attribution is given to authors.

### Scope and Waiver (Opt-Out)

The policy applies to all scholarly articles authored or co-authored while the person is a member of the Faculty, except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. Faculty members retain responsibility for complying with any incompatible licensing or assignment agreements they have executed before the adoption of this policy. Upon express direction by the Faculty member, the Office of the Vice Provost for Faculty and Academic Affairs, or his or her designate will waive application of the policy for a particular article or delay access for a specified period of time. Likewise, upon express direction by the Faculty member, a particular article's archival status (open access, no access, or delayed access) may be changed at any time.

### Deposit of Articles

Each Faculty member will provide an electronic copy of the author's final version of each article no later than the submission date of the next annual faculty reports at no charge to the appropriate representative of the Office of the Vice Provost for Faculty and Academic Affairs in an appropriate format (such as PDF) specified by the Office of the Vice Provost for Faculty and Academic Affairs. The Office of the Vice Provost for Faculty and Academic Affairs may make the article available to the public in an open access repository. The Office of the Vice Provost for Faculty and Academic Affairs in collaboration with the Office of the Vice President for Research, in consultation with Bloomington Faculty Council governance, is responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the faculty from time to time. The policy will be reviewed after three years and as needed thereafter.

## Explanatory Notes

This policy is based on a model open access policy developed by Stuart Shieber of the Harvard Office for Scholarly Communication. It includes a freely waivable rights-retaining license and a deposit requirement. This language is based on and informed by the policies voted by faculties at Harvard, MIT, Stanford University School of Education, Duke University, the University of California, and others. Information explaining the motivation for and implementation of open access policies, including an annotated model policy, is available at the web site of Harvard's Office for Scholarly Communication (<http://osc.hul.harvard.edu/>). Extensive information about good practices for university open access policies is provided in a widely endorsed guide from the Harvard Open Access Project (<http://bit.ly/goodoa>).

## History

Approved: BFC 2/21/17

## Related Information

[Open Access FAQ](#)